

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Central Depository Services (India) Limited**
2. Quarter ending - **31-March-2020**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	Category (Chairperson /Executive /Non-Executive / Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
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Mr.	Nehal Naleen Vora	02769054	ED	CEO-MD	24-Sep-2019	24-Sep-2019			11-Aug-1973	NA		1	0	1	1	SC	
Mr.	Nayan Chandrakant Mehta	03320139	NED, ND		28-Nov-2016	31-Dec-2019			31-Mar-1967	No		1	0	0	0	AC	
Mr.	Balkrishna Vinayak Choubal	06497832	ID,C & NED		30-Jul-2019	30-Jul-2019		36	22-Dec-1954	No		1	1	2	2	AC,NRC	

Mr.	Bimalkumar Natvarlal Patel	03006605	ID		29-Sep-2019	29-Sep-2019		36	29-Jun-1970	No		2	2	2	0	SC,NRC	
Mrs.	Preeti Saran	08606546	ID		29-Nov-2019	29-Nov-2019		36	05-Sep-1958	No		1	1	0	0	RMC,NRC	
Mr.	Umesh Bellur	08626165	ID		30-Nov-2019	30-Nov-2019		36	22-Sep-1965	No		1	1	0	0	AC,SC,R	C
Mr.	Sidhartha Pradhan	06938830	ID		29-Nov-2019	29-Nov-2019		36	12-Jun-1952	No		2	2	1	0	AC,RC	
Mr.	Masil Jeya Mohan P	08502007	NED,ND		10-Oct-2019	10-Oct-2019			20-Mar-1961	No		1	0	0	0	AC	

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Balkrishna Vinayak Chaulal	ID,C & NED	Member	28-Sep-2019	
2	Nayan Chandrakant Mehta	NED,ND	Member	02-Jan-2020	
3	Umesh Bellur	ID	Member	02-Jan-2020	
4	Sidhartha Pradhan	ID	Chairperson	02-Jan-2020	
5	Masil Jeya Mohan P	NED,ND	Member	02-Jan-2020	

Company Remarks	Shri Nehal Vora and Dr. B.N. Patel ceased to be member w.e.f. 02.01.2020 due to reconstitution of Audit Committee. Shri Sidhartha Pradhan appointed as Chairman on Audit Committee.
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Bimalkumar Natvarlal Patel	ID	Member	07-Oct-2019	
2	Nehal Naleen Vora	ED	Member	07-Oct-2019	
3	Umesh Bellur	ID	Chairperson	02-Jan-2020	

Company Remarks	Shri B V Chaubal ceased to be member w.e.f. 02.01.2020.
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	V R Narsimhan	Independent External Person	Member	07-Oct-2019	02-Jan-2020
2	Viraj Londhe	Independent External Person	Member	07-Oct-2019	
3	Nilesh Vikamsey	Independent External Person	Member	07-Oct-2019	
4	Preeti Saran	ID	Member	02-Jan-2020	
5	Umesh Bellur	ID	Member	02-Jan-2020	
6	Sidhartha Pradhan	ID	Chairperson	02-Jan-2020	

Company Remarks	Shri Chaubal and Shri Patel ceased to be member w.e.f. 02.01.2020 (Risk Management Committee applicable to top 500 listed entities w.e.f. 1.4.2019)
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Balkrishna Vinayak Chaubal	ID,C & NED	Member	24-Aug-2019	
2	Bimalkumar Natvarlal Patel	ID	Member	07-Oct-2019	
3	Preeti Saran	ID	Chairperson	02-Jan-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
18-Oct-2019	23-Jan-2020	Yes	8	5

Company Remarks	
Maximum gap between any two consecutive (in number of days)	96

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	18-Oct-2019	23-Jan-2020	Yes	4	3
Nomination & Remuneration Committee	18-Oct-2019	22-Jan-2020	Yes	3	3

Risk Management Committee	18-Oct-2019	22-Jan-2020	Yes	3	2
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Company Remarks	Risk Management Committee is applicable for top 500 companies w.e.f. 1.04.2019 so now applicable to CDSL too.
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	96

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	not applicable
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

NIL

Name : **Amita Paunikar**
Designation : **Company Secretary**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		www.cdslindia.com
Terms and conditions of appointment of	Yes		www.cdslindia.com
Composition of various committees of	Yes		www.cdslindia.com
Code of conduct of board of directors and	Yes		www.cdslindia.com
Details of establishment of vigil mechanism/	Yes		www.cdslindia.com
Criteria of making payments to non-	Yes		www.cdslindia.com
Policy on dealing with related party	Yes		www.cdslindia.com
Policy for determining 'material' subsidiaries	Yes		www.cdslindia.com
Details of familiarization programs imparted	Yes		www.cdslindia.com
Email address for grievance redressal and other relevant details entity who are	Yes		www.cdslindia.com
Contact information of the designated	Yes		www.cdslindia.com
Financial results	Yes		www.cdslindia.com
Shareholding pattern	Yes		www.cdslindia.com
Details of agreements entered into with the media companies and/or their associates	Yes		www.cdslindia.com
Schedule of analyst or institutional investor meet and presentations made by the listed	Yes		www.cdslindia.com
New name and the old name of the listed	Yes		www.cdslindia.com
Advertisements as per regulation 47 (1)	Yes		www.cdslindia.com
Credit rating or revision in credit rating	Yes		www.cdslindia.com
Separate audited financial statements of	Yes		www.cdslindia.com
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.cdslindia.com
Materiality Policy as per Regulation 30	Yes		www.cdslindia.com
Dividend Distribution policy as per	Yes		www.cdslindia.com
It is certified that these contents on the	Yes		www.cdslindia.com
II Annual Affirmations			
Particulars	Regulation Number	Compliance status	Company Remark

<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	22	Yes	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party</i>	23(2), (3)	Not Applicable	
<i>Approval for material related party transactions</i>	23(4)	Yes	

<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Yes	
<i>Other Corporate Governance requirements with respect to subsidiary of Annual Secretarial Compliance Report</i>	24(2),(3),(4),(5) & (6)	Yes	
	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Not Applicable	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D & O Insurance for Independent</i>	25(10)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

Other Information	NIL
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

Other Information	NIL
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Name : **Amita Paunikar**
Designation : **Company Secretary**